



AUDIT COMMITTEE

AGENDA ITEM NUMBER: 2B

DATE: August 21, 2014, 8:00AM

AGENDA ITEM SUBJECT: MEETING MINUTES

July 21, 2014 at 8:00am
 Doubletree by Hilton Miami Airport Hotel
 Convention Center, 2nd floor
 711 NW 72nd Avenue
 Miami, Florida 33126

COMMITTEE MEMBERS IN ATTENDANCE	COMMITTEE MEMBERS NOT IN ATTENDANCE	OTHER ATTENDEES
<ol style="list-style-type: none"> 1. Piedra, Obdulio, <i>Chairperson</i> 2. Datorre, Roberto 3. Zewadski-Bricker, Edith 	<ol style="list-style-type: none"> 4. Carpenter, Willie 5. Gibson, Charles A., <i>Vice Chairperson</i> 6. Adrover, Bernardo 7. Bridges, Jeff 8. Chi, Joe 9. Montoya, Rolando <p>SFW STAFF Beasley, Rick Alonso, Gustavo Garcia, Christine Jean-Baptiste Quinones, Dulce</p>	<p>*****No Attendees***</p>

Agenda items are displayed in the order they were discussed.

1. Call to Order and Introductions

Audit Committee Chairman Obdulio Piedra called the meeting to order at 2:27pm, then asked those present introduce themselves.

3. Recommendation as to Approval to Select BCA Watson Rice, LLP to perform the Financial Statement and Compliance Audits for the Fiscal Year Ending on June 30, 2014

Chairman Piedra called Mr. Ronald Thompkins of BCA Watson Rice, LLP who appeared before the Committee and briefly introduced himself, Mr. Thomas Williams, and Ms. Carsheena Allison who also appeared before the Committee and reviewed in detail a Planning Communications to the Audit Committee Fiscal Year June 30, 2014 PowerPoint presentation.

SFWIB Gustavo Alonso responded to the concerns regarding the status of an RFP for IT Penetration Testing. He explained the current challenges.

The following concerns were asked:

- Was the status on the re-bidding process?
- Whether the allotted funds for the IT Penetration testing would be carried forward to the next year?
- Was this a regular line item?
- Did we find a qualified respondent?
- Why have there been extremely low respondents for this type of testing?
- Did staff seek the legal council's advice regarding the challenges with the RFP for this service?

During Ms. Allison's presentation, Mr. Datorre requested information regarding the responsibilities of this agency's legal counsel. Both Mr. Beasley and Chairman Piedra explained. Chairman Piedra inquired about the due date to submit the final audit report to the state.

Mr. Datorre inquired about the comparison of last year's report to the current.

Chairman Piedra requested the current status of the Auditor's review of the policies and procedures for the recent implementation of an Automated Clearing House (ACH) system.

Mr. Piedra asked the auditors whether they are required to review all of the audits conducted by the state and federal governments to ensure that all action items have been complied with. Ms. Allison further responded. Mr. Datorre asked about Career Center audits and she further provided details.

Chairman Piedra inquired about the processes. He also inquired about the information provided by Ms. Allison regarding GASBE.

4. Information - Fiscal Monitoring Activity Report

Mr. Beasley presented the item and reviewed with the Committee the Audit/Monitoring Activity Report and there was discussion regarding the various findings and observations.

Chairman Piedra asked whether there are any outstanding findings (those that have yet been resolved).

5. Information – Internal Monitoring Activity Report

Chairman Piedra introduced the item. Executive Director Rick Beasley further discussed and reviewed with the Committee the Summary of Monitoring Performed for Program Year 13-14 report.

Chairman Piedra asked how many refugee program locations are there in the Miami Beach area. Mr. Beasley responded a total of two. He later questioned whether there's a need for two in that area.

SFWIB Contract Compliance Supervisor, Dulce Quinones briefly discussed the areas of deficiencies.

Mr. Beasley also covered information on Greater Miami Service Corp., of which Chairman Piedra inquired about the services provided by this agency. Staff explained.

Ms. Zewadski-Bricker asked whether there were any major issues with any of the career centers located within the Keys. Staff responded none.

Ms. Zewadski- Bricker inquired about potential services to South American refugee children. Mr. Beasley provided details regarding future budgets to provide additional refugee services.

Mr. Datorre inquired about services that can be provided to them. Mr. Beasley responded that potentially housing, transportation and other needed services. Mr. Datorre inquired about children's arrival to the U.S.

Chairman Piedra commented on the positive impact it would potentially be to allow migrant children into the U.S., as he briefly discussed the success of Operation Peter Pan in the 1960s.

Deferred Items:

2.A. Approval of the Audit Committee's June 19, 2014

There being no further business to come before the Committee, the meeting adjourned at 3:10pm